



STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W. • WASHINGTON, D.C. 20005-3934

PHONE: (202) 371-2600 • FACSIMILE: (202) 371-2540 • www.skf.com

NO 360

ROBERT GREENE STERNE
EDWARD J. KESSLER
JORGE A. GOLDSTEIN
SAMUEL L. FOX**
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AARON L. SCHWARTZ**

*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

September 24, 2001

WRITER'S DIRECT NUMBER:
(202) 789-5525

INTERNET ADDRESS:
BRIAND@SKF.COM

Attn: Box Missing Parts

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Continuation Utility Patent Application
Appl. No. 09/907,900; Filed: July 19, 2001
For: **Recombinational Cloning Using Nucleic Acids Having
Recombination Sites**
Inventors: Hartley *et al.*
Our Ref: 0942.285000D/BJD/MTT

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
2. A copy of the PTO date-stamped postcard, dated July 19, 2001, verifying the filing of a Request to Open New Disk File with 19 pages of a paper copy of a substitute Sequence Listing filed on April 15, 1999 in parent U.S. Appl. No. 09/177,387; and
3. Reply to Notice to Comply With Sequence Disclosure Rules;
4. One (1) return postcard.

Commissioner for Patents
September 24, 2001
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

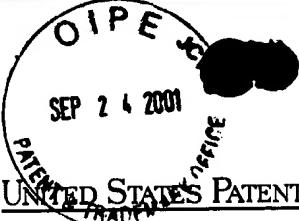
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Brian J. Del Buono
Attorney for Applicants
Registration No. 42,473

BJD/MTT/nef
Enclosures

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/907,900	07/19/2001	James L. Hartley	0942.285000D

CONFIRMATION NO. 1831

26111
STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON, DC 20005-3934

FORMALITIES LETTER



OC000000006389690

Date Mailed: 08/07/2001

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY

Continuation of Appl. No. 09/177,387

Applicant: Hartley *et al.*

Application No.: *To be assigned*

Filed: HEREWITH

For: Recombinational Cloning Using Nucleic Acids Having Recombination Sites

Due Date:

Art Unit: *To be assigned*

Examiner: *To be assigned*

Docket: 0942.2850001

Atty: BJD/MTT

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
2. PTO Fee Transmittal Form (PTO/SB/17) (*in duplicate*) with check no. 31943 for \$710.00 to cover the appropriate filing fees;
3. Application Data Sheet;
4. Request to Open New Disk File with 19 pages of a paper copy of a substitute sequence listing filed on April 15, 1999 in parent U.S. Appl. No. 09/177,387;
5. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
6. Preliminary Amendment;
7. Letter to PTO Draftsman: Submission of Formal Drawings (*in duplicate*) with 58 sheets of formal drawings;
8. U.S. Utility Patent Application entitled: Recombinational Cloning Using Nucleic Acids Having Recombination Sites, and naming as inventors: James L. HARTLEY, Michael A. BRASCH, Gary F. TEMPLE, and Donna K. FOX; the application consisting of a specification containing a total of 100 pages: 89 pages of description prior to the claims; 10 pages of claims (51 claims); and a one (1) page abstract; 58 sheets of drawings: (Figures 1, 2A, 2B, 2C, 2D, 2E, 2F, 3A, 3B, 3C, 3D, 3E, 3F, 4A, 4B, 4C, 4D, 4E, 4F, 5A, 5B, 5C, 5D, 5E, 5F, 5G, 5H, 6A, 6B, 7A, 7B, 7C, 7D, 8A, 8B, 8C, 8D, 8E, 8F, 8G, 8H, 8I, 8J, 8K, 9A, 9B, 9C, 10A, 10B, 10C, 10D, 11A, 11B, 11C, 12A, 12B, 12C, and 12D); and a copy of the executed Declaration, as originally filed in parent U.S. Appl. No. 09/177,387; and
9. Two (2) return postcards.

Box: Patent Application

Please Date Stamp And Return To Our Courier

